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Frequent Asked Questions Call for Concept Notes – Policy Responses

1 About XCEPT

- 1.1 What is XCEPT?
- 1.2 What are examples of projects XCEPT has previously funded?

2 Eligibility

- 2.1 Who can apply for an award?
- 2.2 What qualifications are required for Principal Investigators?
- 2.3 Are there specific affiliation requirements for Principal Investigators?
- 2.4 If I am applying as an individual researcher, can I apply as a team?
- 2.5 The call states that Global South researchers and research organisations are strongly encouraged to apply. Which researchers / organisations are considered 'Global South'?

3 Application process

- 3.1 How do I apply for this funding opportunity?
- 3.2 How do I apply as an individual? How do I apply as an organisation?
- 3.3 Is there a deadline for Concept Note submission?
- 3.4 In what language can proposals be submitted?
- 3.5 Can I submit more than one proposal?
- 3.6 Can I submit to more than one XCEPT funding call?
- 3.7 Can a rejected Concept Note be resubmitted?
- 3.8 When will I be notified about whether I've been selected to move to the full Project Proposal stage?
- 3.9 For those invited to submit a full Project Proposal, what will be required?
- 3.10 Who decides who will be shortlisted to submit full Project Proposals?
- 3.11 How many projects will be funded?

4 Technical requirements

- 4.1 What are we looking for from this research?
- 4.2 *Is there a preferred geographic focus for proposals?*
- 4.3 What are the preferred outputs?
- 4.4 How will XCEPT support with outreach and dissemination?
- 4.5 Do we need to have Institutional Review Board (IRB) approval in place ahead of submission?

5 Budget

- 5.1 How much funding is available?
- 5.2 What level of detail is required in the budget submission?

6 Contract

- 6.1 With whom are contracts signed?
- 6.2 What is the average contract length?
- 6.3 When are the projects likely to start?
- 6.4 What are the reporting requirements?
- 6.5 Who owns the Intellectual Property Rights?

7 Contact information and Q&A

- 7.1 How can I find out more about this funding opportunity?
- 7.2 How can I contact the XCEPT team to ask questions?

1 About XCEPT

1.1 What is XCEPT?

The Cross-border Conflict Evidence, Policy and Trends (XCEPT) research programme brings together world-leading experts and local researchers to examine conflict-affected borderlands, how conflicts connect across borders, and the drivers of violent and peaceful behaviour. Funded by UK International Development, XCEPT offers actionable research to inform policies and programmes that support peace.

XCEPT's focus on conflict-affected borderlands and how conflicts connect across borders sets us apart. We view conflicts as complex systems that connect across borders and ensnare conflict actors and communities, intertwining the licit and illicit, the formal and informal.

We argue that since conflicts are not bound by national borders, responses to conflict should not be bound by national borders either. Responses to conflict should be transnational. They should work at local, state, and regional levels and enable integrated and coordinated international responses.

Chemonics UK is the lead implementing partner for XCEPT, working with King's College London. Other core partners during the life of the programme have included: The Asia Foundation; Chatham House; Conciliation Resources; Malcolm H. Kerr Carnegie Middle East Center; and Rift Valley Institute.

XCEPT works with many other research organisations through the XCEPT Research Fund (XRF). These include leading universities and think tanks and grassroots organisations dedicated to building peace. XCEPT offers awards to both individuals and institutions to support high-quality mixed-methods research, published in leading journals and institutional channels. We support researchers in innovative application of remote research methods alongside field data collection, pushing the boundaries of how technology can be used to track conflict dynamics.

1.2 What are examples of projects XCEPT has previously funded?

Examples of other projects funded through the XRF can be found in the 'ongoing and completed' awards section of the 'Research Fund' page on our <u>website</u>. To search across XCEPT's research outputs, use the website's <u>search function</u>.

2 Eligibility

2.1 Who can apply for an award?

XCEPT welcomes applications from both **individuals** and **organisations**.

Successful applicants will be responsible for receiving, managing, and reporting on the awarded funds, and must demonstrate the capability to do so in line with FCDO requirements for financial accountability and transparency. This includes maintaining appropriate financial systems and controls.

The awardee will also hold primary responsibility for **duty of care**, **safeguarding**, **and risk management** throughout the duration of the project, and must have appropriate approaches in place to manage these effectively.

Applicants should ensure that their proposed budget, and their approach to risk, safeguarding, and duty of care, are proportionate to the scale, scope, and complexity of the proposed research. These elements will be considered as part of the assessment process.

In line with these expectations, we do not anticipate awarding high value budgets to individuals acting outside of an institutional or organisational framework, unless they can clearly demonstrate the capacity to manage funds and responsibilities at that scale.

Global South researchers and research organisations are strongly encouraged to apply, independently or in partnership / collaboration with researchers or research organisations based in the Global North. Applications that include meaningful participation of Global South researchers and/or organisations will be scored more favourably.¹

Proposals should consider whether the research would be strengthened through the participation of researchers with **different disciplinary backgrounds**, or through partnerships between academics and policy professionals.

2.2 What qualifications are required for Principal Investigators?

Applicants should name a **Principal Investigator** (and Co-Investigators, as relevant). We expect Principal Investigators to be academics, subject matter experts, or advanced practitioners engaged in research, policy, or programme activities. Principal investigators will usually hold a PhD, but other equivalent academic or professional qualifications will also

¹ For the purposes of this call, 'Global South organisation' refers to an organisation that is legally organised under the laws of, and has as its principal place of business or operations in, a country that is a recipient of official development assistance (ODA) (see OECD list here), that is based in an ODA-recipient country, and is conducting research in or on an ODA-recipient country. 'Global South researcher' refers to an individual who is a national of an ODA-recipient country, is based in an ODA-recipient country (or has been displaced from one in the past several years), and is conducting research in or on an ODA-recipient country.



be considered – for example, we encourage applications from former policymakers with deep experience working on relevant issues.

2.3 Are there specific affiliation requirements for Principal Investigators?

No, Principal Investigators do not need to meet any specific affiliation requirements.

2.4 If I am applying as an individual researcher, can I apply as a team?

Yes, if you are submitting a proposal as an individual, you can apply as part of a team. However, only one lead researcher / Principal Investigator should submit the proposal. That individual will be named in the contract and will be responsible for project implementation, should the application be successful.

XCEPT prefers that co-investigators be engaged as subcontractors under the lead's contract. If this arrangement raise significant complications, then we can consider alternative mechanisms.

2.5 The call states that Global South researchers and research organisations are strongly encouraged to apply. Which researchers / organisations are considered 'Global South'?

The XRF is committed to supporting equitable research partnerships and inclusive knowledge production. We encourage Global South collaboration and projects led by or meaningfully involving researchers based in the regions under study.

For the purposes of this call, 'Global South organisation' refers to an organisation that is legally organised under the laws of, and has as its principal place of business or operations in, a country that is a recipient of official development assistance (ODA) (see OECD list here), that is based in an ODA-recipient country, and is conducting research in or on an ODA-recipient country.

'Global South researcher' refers to an individual who is a national of an ODA-recipient country, is based in an ODA-recipient country (or has been displaced from one in the past several years), and is conducting research in or on an ODA-recipient country.

3 Application process

3.1 How do I apply for this funding opportunity?

The application process involves two stages: Concept Note and full Project Proposal. This call for Concept Notes is the first stage.

To apply, applicants should prepare and submit the following:

• Concept Note Application Form (max. 1200 words). This includes space to discuss the research idea and evidence gap; methodology; expected outputs; and proposed research team. It also includes space for you to describe a high-level



summary budget. The form should be submitted as a Microsoft Word document in English. Please note that application forms differ depending on whether you are applying as an individual or on behalf of an organisation.

• **CVs** must be provided for the Principal Investigator and any Co-Investigators (maximum three CVs in total).

The completed Concept Note Application Form and CV(s) should be submitted via email to XCEPT-Fund@chemonics.com by the submission deadline. Late or incomplete applications will not be considered. Applications must indicate in the subject line of the email the following: "C2-G050 Policy response + [Name of lead (whether individual or organisation)]".

Detailed instructions on how to submit an application are included in the Call for Concept Notes document.

3.2 How do I apply as an individual? How do I apply as an organisation?

Application forms differ depending on whether you are applying as an individual or on behalf of an organisation. Please fill out the appropriate form:

- Application form for individuals
- Application form for organisations

Alongside the application form you will also need to provide CVs for the Principal Investigator and any Co-Investigators (maximum three CVs in total).

3.3 Is there a deadline for Concept Note submission?

Yes, the deadline is Wednesday 17 September 2025 at 10:00 BST.

3.4 In what language can proposals be submitted?

All applications and supporting documentation must be in English.

3.5 Can I submit more than one Concept Note?

Applicants may submit several Concept Notes, but no organisation or individual will be awarded more than one award as the lead applicant.

3.6 Can I submit to more than one XCEPT funding call?

Yes, the same applicant can submit proposals to different XCEPT funding calls.

3.7 Can a rejected Concept Note be resubmitted?

No, proposals cannot be resubmitted after the applicant has been notified that they were unsuccessful in their application.



3.8 When will I be notified about whether I've been selected to move to the full Project Proposal stage?

Applicants will be notified by XCEPT regarding selection within one month of the Concept Note submission deadline.

3.9 For those invited to submit a full Project Proposal, what will be required?

Those shortlisted applicants who are asked to submit a full Project Proposal at the second stage will be asked to complete a full technical application, providing past performance examples, completing due diligence forms, and submitting a detailed budget and workplan. Further details on requirements and evaluation will be shared with those who are shortlisted.

Shortlisted applicants will have 3-4 weeks to complete their Project Proposal.

3.10 Who decides who will be shortlisted to submit full Project Proposals?

Concept Notes will go through an eligibility screening followed by a review and assessment, according to the Evaluation Criteria detailed in Section 7 of the call document ('How will your Concept Note be assessed?').

This step is led by members of the Selection Committee and the XCEPT team.

In addition to the strength of individual submissions, some decisions may be made on a strategic basis across the programme, for example, based on geography or thematic area or to promote equity and inclusion.

3.11 How many projects will be funded?

We anticipate issuing **between four and eight awards** under this call, across a range of funding levels. The final number of awards may be higher or lower, depending on the scale, quality, and strategic fit of the proposals received. Final decisions will be made by the Selection Committee, which will take into account the overall balance and diversity of the portfolio of research, including thematic coverage, geographic focus, and methodological approaches.

4 Technical requirements

4.1 What are we looking for from this research?

This Call for Concept Notes seeks to generate analysis on policy responses or approaches that address the linkages between borderland conflict economies and the local, national and transnational drivers of conflict, as well as actionable recommendations for their improvement.

To encourage more tractable, policy-focused analysis, research might consider specific policy tools, mechanisms, or approaches deployed by local or national government actors,

by regional or multilateral bodies, coalitions, or international intervention efforts, including bilateral donor programmes. Research should aim to surface actionable insights for policy stakeholders in the given contexts, while also demonstrating the applicability of findings to broader contexts.

Research should consider not only the past challenges and conditions shaping the success of these policy approaches or tools, but also those that are likely to be relevant going forward. This should include consideration of the resource-constrained environment for multilateral action and the need for greater reliance on supporting regional, national, and local responses.

Across all the research, an emphasis on how policies or interventions studied would affect or enable civilian and community agency in responding to conflict and conflict economies in borderlands is welcomed. Likewise, XCEPT encourages research that considers the role of and implications for men and women in the policies and interventions under study.

For more details see Section 3 ('Description of the research to be commissioned') in the Call for Concept Notes document.

4.2 Is there a preferred geographic focus for proposals?

The proposed research should concentrate on conflict-affected borderlands and cross-border conflict dynamics in XCEPT's core geographies:

- The Middle East, especially Syria, Lebanon, Iraq and Yemen
- The Horn of Africa, especially Sudan, South Sudan, Somalia and Ethiopia
- Coastal West Africa and the Sahel
- Afghanistan and Pakistan
- Myanmar

Proposals that address other geographies will be considered. Research may focus on a single region or span multiple regions, with particular interest in research that offers comparative value for XCEPT's core areas of focus.

4.3 What are the preferred outputs?

The primary output of each award will be a high-quality paper, subject to external peer review and suitable for publication by the Awardee organisation or XCEPT, or prepared for submission to a peer-reviewed academic journal.

All projects should include policy-focused outputs, such as summary briefing notes and presentations to policy audiences. They should also include outputs geared for a wider public audience, such as commentaries, blogs, podcasts or videos. Applicants should list the outputs they plan to produce in the Application Form.

4.4 How will XCEPT support with outreach and dissemination?

XCEPT will offer technical review and feedback throughout the process. In coordination with XCEPT, awardees are expected to leverage their professional networks to generate opportunities for policy uptake and impact. XCEPT will also provide opportunities for

successful applicants to showcase their research at XCEPT-hosted events and through private briefings to key government and policy stakeholders at relevant stages of the project cycle.

In addition, XCEPT may convene successful applicants to share and refine research plans, foster collaboration, and cultivate a community of research practice; XCEPT likewise may support collective presentation of research findings in a workshop or convening at the conclusion of research. Travel costs would be covered by XCEPT. XCEPT will work with author(s) to enable open access publication, in line with FCDO requirements.²

4.5 Do we need to have Institutional Review Board (IRB) approval in place ahead of submission?

No, you do not need it in place ahead of submission. However, applicants must indicate in the submission whether ethical review (e.g., for human subjects research) is required from an Institutional Review Board (or equivalent ethics review body). The applicant will be responsible for obtaining any required approvals and provide relevant proof to XCEPT before any funds can be dispersed.

5 Budget

5.1 How much funding is available?

We anticipate issuing **between four and eight awards** under this call, across a range of funding levels. The final number of awards may be higher or lower, depending on the scale, quality, and strategic fit of the proposals received. Final decisions will be made by the Selection Committee, which will take into account the overall balance and diversity of the portfolio of research, including thematic coverage, geographic focus, and methodological approaches.

Applicants are encouraged to consider the appropriate scale for their project, bearing in mind the following indicative funding brackets:

- **Up to £15,000** For desk-based research papers (sole or co-authored) drawing primarily on existing literature, data, and analysis. These projects are not expected to involve field data collection but may include remote interviews.
- £15,000–£40,000 For projects that include field data collection. At the lower end of
 the scale this may involve key informant interviews requiring travel; at the higher
 end it may involve interviews, surveys or field-based case studies in one or two
 locations.
- £40,000–£80,000 For projects involving more substantial field data collection, potentially across multiple sites or countries, and delivering more extensive outputs.
- £80,000–£120,000 For projects proposing a portfolio of research activities across multiple sites, potentially involving mixed methods and multiple outputs that offer

² See FCDO's (formerly DFID's) Open and Enhanced Access Policy.



comparative case studies in different geographies or address a more complex array of interventions.

Concept notes should explain the justification for higher budgets relative to the contribution to XCEPT objectives, scholarship, and policy.

5.2 What level of detail is required in the budget submission?

Applicants are expected to submit a budget that provides a high-level breakdown of estimated costs across major categories (e.g. personnel, activities, equipment, etc.) sufficient to demonstrate feasibility and value for money. This should include costs related to fieldwork, editing and publication, dissemination, etc. It should also include justification for the costs.

There is space for submitting this summary budget in the Concept Note Application Form. A detailed budget is not required at this stage.

Please note that application forms (and accompanying budget tables) differ depending on whether you are applying as an individual or on behalf of an organisation.

6 Contract

6.1 With whom are contracts signed?

Successful applicants will be contracted directly by Chemonics UK, which manages the XRF. The type of contract issued will depend on the nature of the applicant – whether an individual or an organisation – and, in the case of organisations, their legal status (e.g. for-profit or not-for-profit).

- For organisational applications submitted by a consortium, a lead organisation must be designated. This lead organisation will serve as the formal contract holder with Chemonics UK.
- For individual applications with **co-investigators**, XCEPT prefers that co-investigators be engaged as subcontractors under the lead's contract.

If the arrangement described above raise significant complications, then we can consider alternative mechanisms.

6.2 What is the average contract length?

XCEPT anticipates these awards will run for a maximum of 12 months.

6.3 When are the projects likely to start?

XCEPT anticipates these awards to start in December 2025.



6.4 What are the reporting requirements?

Successful applicants will be expected to report their progress on a regular basis, for example through quarterly reporting.

6.5 Who owns the Intellectual Property Rights?

Any project-specific Intellectual Property Rights (IPRs) created under these awards shall be owned by the awardee. In order to ensure the proper dissemination and uptake of the research, the awardee shall, as part of their contract with Chemonics, grant to Chemonics and FCDO a perpetual, irrevocable, non-exclusive, assignable, royalty-free licence to use these project-specific IPRs.

7 Contact information and Q&A

7.1 How can I find out more about this funding opportunity?

To learn more about this funding opportunity, please join the virtual question and answer (Q&A) session on **3 September 2025 at 13:00-14:00**. This will be an opportunity to ask questions about this call for papers. If you are interested in joining the Q&A, please confirm your attendance by completing this form:

https://events.teams.microsoft.com/event/37decac8-ae95-41c8-b3d2-6c5546ebb314@7c1f24a6-7d39-452c-8237-0726e3b19a73.

7.2 How can I contact the XCEPT team to ask questions?

You can contact the XCEPT Research Fund team via email at xcept-fund@chemonics.com

If you have any clarification questions, please ask them at the Q&A or email them to the email above by the clarification questions deadline on **Monday 8 September 2025, 10:00 BST.**